CUA-NOG1-NP-01-02

Terms of Negotiation between the Crown and Te Aupouri

Purpose of these terms of negotiation

- These terms of negotiation set out the scope, objectives, and general procedures for the negotiations between the Crown (as defined in clause 6) and the mandated negotiators on behalf of Te Aupouri ("the Negotiators") for the settlement of the Historical Claims of Te Aupouri, as defined in clause 5.
- This document records the stated intentions of the Crown and the Negotiators, including the intention to negotiate in good faith, confidentially and without prejudice. This document is not legally binding and does not create a legal relationship.

Objectives of the Negotiations

- 3. The Crown and the Negotiators agree that the objectives of the negotiations will be to:
 - a negotiate in good faith a comprehensive, final and durable settlement of all the Historical Claims of Te Aupouri, (as defined in clause 6) which is fair in the circumstances;
 - b achieve a settlement that will not diminish or in any way affect any rights that Te Aupouri have arising from te Tiriti o Waitangi/the Treaty of Waitangi and its principles, or extinguish any aboriginal or customary rights that Te Aupouri may have;
 - c achieve a settlement that recognises the nature and extent of the breaches of the Crown's obligations to Te Aupouri under Te Tiriti o Waitangi/the Treaty of Waitangi and its principles;
 - d provide a platform, which will assist Te Aupouri to develop their economic base. In addition, the Crown acknowledges that Te Aupouri view the settlement as a means of enhancing their social, cultural and political development;
 - e achieve a settlement that will enhance the ongoing relationship between the parties (both in terms of the Treaty of Waitangi and otherwise); and
 - f achieve a settlement that will restore the honour of the Crown.

Definition of Claimants

4. A Te Aupouri claimant means uri who whakapapa to Te Ikanui as their tupuna.

Definition of Historical Claims

- 5. All claims made at any time (whether or not the claims have been researched, registered or notified) by any Te Aupouri claimant or anyone representing them that:
 - a are founded on rights arising from the Treaty of Waitangi, the principles of the Treaty of Waitangi, whether based on legislation, common law (including customary law and aboriginal title), fiduciary duty, or otherwise; and
 - b arise from or relate to acts or omissions before 21 September 1992:
 - i. by or on behalf of the Crown; or
 - ii. by or under legislation.

Definition of the Crown

- 6. The Crown:
 - a means Her Majesty the Queen in right of New Zealand; and
 - b includes all Ministers of the Crown and all government departments; but
 - c does not include
 - i. an Office of Parliament; or
 - ii. a Crown entity; or
 - iii. a State Enterprise named in the First Schedule to the State-Owned Enterprises Act 1986.

Mandate to Negotiate

- 7. Attached are hui-a-iwi minutes (Appendix 1), which confirm that the five Negotiators (listed below) have the mandate to represent Te Aupouri claimants in negotiations with the Crown for the settlement of Te Aupouri Historical Claims:
 - i. Matiu Wiki
 - ii. George Witana
 - iii. Waitai Petera
 - iv. Winiata Brown
 - v. John Muru Walters
- 8. Attached is a letter of the Crown's recognition of the mandate (Appendix 2), which recognises the mandate of the Negotiators for the purpose of Te Aupouri Treaty settlement negotiations with the Crown.

- 9. If representation issues arise during negotiations that cannot be resolved by agreement within Te Aupouri, the Crown will discuss further with the Negotiators about how to proceed and assist as it considers appropriate.
- 10. The Negotiators agree to provide the Office of Treaty Settlements with reports on the state of the mandate every three months, and the Crown agrees to advise the Negotiators of any objections to the Negotiators' mandate.

Subject matter for Negotiation

- 11. The parties will together agree upon subject matters to be negotiated. Any party may raise for discussion subject matters in addition to those agreed upon. Any additional subject matters may be added by way of agreement in writing by negotiators of each party.
- 12. The list of subject matters to be discussed will include the following categories of redress:
 - The Crown's Apology and Acknowledgements;
 - · Cultural redress; and
 - Commercial redress.

Scope of Negotiations

13. The Negotiators and the Crown agree that the general scope of negotiations will include, but not necessarily be limited to:

a Heads of Agreement

Outlines the scope and nature in principle for settlement of Te Aupouri Historical Claims, which will be recorded in the Deed of Settlement.

b Initialled Deed of Settlement

Te Aupouri and Crown negotiators initial the Deed of Settlement, which will set out the terms and conditions of settlement of the Historical Claims of Te Aupouri.

c Ratification

The initialled Deed of Settlement will be presented to Te Aupouri for ratification. An approved governance entity structure will also be presented to Te Aupouri for ratification before the settlement legislation can be introduced.

d Deed of Settlement signed if ratified

If Te Aupouri ratifies the Deed of Settlement (in a manner to be determined), the Deed of Settlement will be signed on behalf of Te

Aupouri, and by a representative of the Crown, either the Prime Minister or the Minister in Charge of Treaty of Waitangi Negotiations.

e Governance Entity and Settlement legislation

Settlement of Te Aupouri Historical Claims is effective once a suitable governance entity is formed to hold the settlement assets and the required settlement legislation receives the Royal Assent.

What the Settlement of Historical Claims of Te Aupouri will enable

- 14. The Negotiators and the Crown agree that the settlement of the Historical Claims of Te Aupouri will enable:
 - a final settlement of all the Historical Claims of Te Aupouri, and release and discharge of all of the Crown's obligations and liabilities in respect of them;
 - b the removal of any landbank in the Te Aupouri area;
 - the removal of any resumptive memorials from the titles of land subject to the State Owned Enterprises Act 1986, the Railways Corporation Restructuring Act 1990, the Crown Forest Assets Act 1989 and the Education Act 1989 and for statutory protection for claims against the Crown to be removed; and
 - d the removal of the jurisdiction of the courts, the Waitangi Tribunal, and any other judicial body or tribunal in respect of the Historical Claims of Te Aupouri, the Deed of Settlement, the redress provided or settlement legislation (but not for the removal of such jurisdiction in respect of the implementation or interpretation of terms in any Deed of Settlement or any settlement legislation); and
 - e discontinuance of legal proceedings in relation to Historical Claims.

Acknowledgements

- 15. The Crown and the Negotiators agree;
 - a Te Aupouri will require the Crown to define any breaches of te Tiriti o Waitangi/the Treaty of Waitangi and its principles during the course of the negotiations; and
 - b the Crown will acknowledge and apologise for any breaches of Te Tiriti o Waitangi/the Treaty of Waitangi as part of a settlement.

Communication

16. The Negotiators and the Crown will each ensure regular and appropriate internal consultation procedures throughout the negotiations.

Cross-Claims

- 17. Te Aupouri and the Crown agree that cross-claim issues over redress assets will need to be addressed to the satisfaction of the Crown before a Deed of Settlement can be concluded, and that redress may sometimes be required to reflect the importance of an area or feature to other claimant groups.
- 18. The Negotiators will consult at an early stage with neighbouring claimant groups if their interests are likely to be affected, and where appropriate the Crown will assist as it considers appropriate.

Ratification

- 19. The Negotiators and the Crown acknowledge that this document does not bind either party to reach a settlement and that any agreement reached in negotiation discussions is confidential, without prejudice and will not be binding until:
 - a embodied in a Deed of Settlement; and
 - b ratified by Te Aupouri and the Crown; and
 - a suitable governance entity has been formed to receive settlement assets; and
 - d settlement legislation comes into force.

Governance Structure for Settlement Assets

20. The Negotiators and the Crown agree that an appropriate legal entity ratified (in a manner to be determined) by Te Aupouri that adequately represents all Te Aupouri claimants, has transparent decision making and dispute resolution processes, and is fully accountable to Te Aupouri, will need to be in place prior to settlement to receive settlement assets.

Claimant Funding

- 21. The Negotiators and the Crown note that the Crown makes a contribution to the negotiation costs of Te Aupouri, which is paid in instalments for the achievement of specified milestones in the negotiation process.
- 22. The Negotiators will provide the Crown with independently audited accounts for the claimant funding that it receives from the Crown, identifying that the funding has been spent on the negotiations. Invoices will be available for each instalment of funding received from the Crown.

Waive Other Avenues of Redress

23. The Negotiators and the Crown agree that during these negotiations neither party will pursue or initiate, before any court or tribunal, any proceedings for redress covering all or part of the same subject matter as these negotiations.

Procedural Matters

- 24. The Negotiators and the Crown agree that:
 - a negotiations will be on a "without prejudice" basis and will be conducted in good faith and in a spirit of co-operation;
 - b negotiations will be conducted in private and will remain confidential unless agreed otherwise (such as when consultation with third parties is necessary) or when the Crown is required to release information under the Official Information Act 1982;
 - c media statements concerning the negotiations will only be made when mutually agreed by both parties;
 - d the Negotiators will report regularly to the Crown on the steps taken to consult with and inform Te Aupouri claimants of the progress of the negotiations; and
 - e the location of meetings will be suitable and convenient to both parties.

Amendments

25. The Negotiators and the Crown acknowledge that it may be necessary to amend these terms of negotiation from time to time and agree that all amendments must be approved by both parties and recorded in writing.

SIGNED THIS 20th DAY OF March 2001

For and on behalf of the Crown

Hon Margaret Wilson, Minister in Charge of Treaty of Waitangi Negotiations

For and on behalf of Te Aupouri:		
Authorised Signatory:	////hi	
Printed Name:	Matiu Wiki	
Authorised Signatory:		
Printed Name:	George Witana	
Authorised Signatory:	Metera	
Printed Name:	Waitai Petera	
Authorised Signatory:	D. Brown.	
Printed Name:	Winiata Brown	
Authorised Signatory:	/////	
Printed Name:	John Myru Walters	

Appendix 1

Ngati Kuri/ Te Aupouri Iwi Hui

VENUE:

Waiora Marae, Ngataki, Kaitaia

DATE:

25th November 2000

TIME:

10:15 am

Karakia:

Rev. Piripi Kapa

Nga mihi:

Rev. Piripi Kapa

Ross Norman Charlie Neho Waitai Petera Whetu Maaka Pia Ihaka Erima Henare Matiu Wiki Kevin Prime

Kevin Prime appointed Chairman for the day, outlined agenda for Hui.

- (i) Brief presentation by Professional Advisors, Ken Hingston, George Evans
- (ii) Two Iwi groups separate and conduct their own elections
 - * To confirm previously elected negotiators Te Aupouri Settlement Trust
 - * To elect further two negotiators
 - * To select/nominate Kaumatua representative
- (iii) Confirmation of nominees.
- (iv) Seek views to form Joint Settlement Structure
- (v) Interim Settlement
- (vi) Kaumatua Representative

Advisors Presentation

Ken Hingston:

Brief outline of his past history and involvements with other Iwi

groups. Re: claims processes with Iwi/ Crown.

George Matua Evans:

Acknowledgement to Matiu Rata. Described his involvement with

Crown and other Iwi claimant groups.

Chairman:

Kevin Prime

Informed Iwi of Criteria for election of negotiators. Qualifications for claims negotiator representatives.

OPTION 1:

- (i) Have you had experience in negotiations? -
- (ii) At what level do you understand the negotiation process?
- (iii) How much experience have you had working for Te Aupouri/ Ngati Kuri?
- (iv) Do you know what the Te Aupouri/ Ngati Kuri land claim is?
- (v) Would you be prepared to undergo a S.W.O.T. analysis?(strengths, weaknesses, opportunity and threats)
- (vi) Nominees must whakapapa back to Te Aupouri/ Ngati Kuri?
- (vii) Nominees are to be nominated from the floor and second?

OPTION 2:

- (i) State your understanding of the negotiation process and the skills required to perform adequately.
- (ii) Would you agree to be subjected to S.W.O.T analysis?

Chairman:

Reminded Iwi groups to conduct their elections under the following criteria.

- (i) Confirm the three previously elected negotiators for Te Aupouri.
- (ii) To elect a further two negotiators for Te Aupouri.
- (iii) To elect five Ngati Kuri negotiators.
- (iv) Seek views towards a Joint Settlement Structure for Ngati Kuri/ Te Aupouri beneficiaries.
- (v) Discuss Interim Settlement.
- (vi) Reaffirm Kaumatua representatives.

At this stage Te Aupouri Iwi moved to another room to conduct their elections separately.

MOTION: 'Confirmed three Aupouri negotiators previously elected'

Ata Kapa Matiu Wiki Winiata Brown

Moved: John Brown / Second: Piripi Kapa

Discussions to motion

George Witana: Process is not transparent.

Stated that each should have been elected at an Iwi Hui.

Joe Everitt: Why should we have to follow processes that have been put in

place.

Kaio Witana: Against motion.

Stated that another set of negotiators were elected before.

Requests that another re-election take place.

Pia Ihaka: Explained that the three previously elected were nominated on the

Muriwhenua land claim not the Aupouri Settlement Trust.

Kahi Harawira: Against motion. Re-election.

Jimmy Kapa: Outlined it would be detrimental to elect new negotiators, due to

their knowledge of the claims.

Rev. Puti Murray: Supported current negotiators.

Rev. Piripi Kapa: As a member of the Aupouri Settlement Trust, he explained that

the workload is heavy, so allow the current three members to continue and elect a further two. Feels we will not progress for a

further few years if we constantly change negotiators.

Waitai Petera: Offered alternative.

Put all names up for re-election and vote according to how Iwi

want it.

Various speakers continued to korero on issue.

Chairman reminded Iwi of motion. Refer back to this.

Ata Kapa: We went to the Tribunal, claim was under Muriwhenua. Aupouri

had no claim.

My fear is that if the process does not continue with the current

three already elected then Muriwhenua will move in.

Winiata Brown:

Current members of the Settlement Trust have already been working with Ngati Kuri negotiating boundaries etc. and the presentation of the claims package. We are quite a way down the track.

MOTION:

'For confirmation of the existing three negotiators'

Moved: John Brown / Second: Joe Everitt

For motion:

22 SK

Against motion:

SHE

Resolution defeated. Re-election to occur.

Agreed unanimously that nominations be received for five negotiators positions.

<u>Nominees</u>

John Peters Moved: Heta Conrad / Second: K. Kaaka

Winiata Brown Moved: K. Karena / Second: P. Etana

Matiu Wiki Moved: N. Brown / Second: M. Nathan

- Waitai Petera Moved: N. Karena / Second: J. Subritzky

Walter Kapa Moved: J. Brown / Second: K. Te Moananui

George Witana Moved: R. Ihaka / Second: W. Smith

Ata Kapa Moved: Pia Ihaka / Second: L. Ihaka

Louise Ihaka Moved: K. Te Moananui / Second: W. Kapa

John Walters Moved: J. Everitt / Second: G. Witana

Jessie Everitt Moved: G. Witana / Second: P. Kapa

Moved: Piripi Kapa / Second: Roera Ihaka

'Declared nominations be closed.'

Discussion to motion

- Five negotiators only to be elected.
- Five names required only on voting form per voter.

Nominations for Kaumatua

Matiu Wiki: Explained role of Kaumatua

- * mihi
- * karakia
- * tautoko decisions made by Aupuori Settlement Trust and assist in seeking peaceful resolutions.

General discussion.

MOTION:

'We do not include a Kaumatua within the current structure of the negotiating team. That any Kaumatua can fulfil the role.'

Moved: K. Witana / Second: P. Kapa carried unanimously

Voting - show of hands

For motion - 45 Against motion - 12

Abstained - 0

carried ·

Results of five new negotiators

Matiu Wiki	45
Winiata Brown	40
George Witana	30
Waitai Petera	29
John Walters	29
John Peters	24
Ata Kapa .	18
Louse Ihaka	15
Walter Kapa	15
Jessie Everitt	12

MOTION:

'That Matiu Wiki, Winiata Brown, George Witana, Waitai Petera, John Walters are duly elected as the five new negotiators for Te Aupouri.'

Moved: Piripi Kapa / Second: John Brown carried

MOTION:

'That Ngati Kuri/ Te Aupouri jointly negotiate with the crown their claim within the Far North Peninsular.'

Moved: Piripi Kapa / Second: John Brown carried unanimously

Concerns still raised by Iwi members. Dissatisfaction, that they feel, we will still be under the Muriwhenua claim.

Ken Hingston:

Re-assured Iwi that Te Runanga O Muriwhenua publicly have said they would step aside. Re-iterated that Te Aupouri/ Ngati Kuri would continue to go alone.

Outlined the Interim Settlement process. What has been completed leading up to the commencement of the negotiating process. Alliance and Te Runanga O Muriwhenua are not participating in this negotiating process. Negotiators answerable only to these two

Iwi groups.

Matiu Wiki:

Going together because of the overlapping of claims and boundaries.

> Break for lunch. Hui resumed 3 pm.

Formal announcement by Chairman, notifying newly appointed Te Aupouri negotiators and that a resolution has been passed by Te Aupouri Iwi that both groups work through this process together.

Graham Neho:

While the Iwi were awaiting results of Ngati Kuri elections, korero to continue. Re: Both groups work together. Outlining it has been long overdue.

Hoana Karekare:

Personal criticisms voiced of appointed negotiators.

Chairman:

Out of order. Reminded all of take on floor.

Malaena Muruamo:

Approved of both groups coming together but Ngati Kuri needs the opportunity to deal with relevant issues of their own before

proceeding with the claims process.

Paul Norman[™]

Stated Aupouri Iwi has robbed Ngati Kuri of their lands, food, resources and mana. The deprivation Ngati Kuri has endured due to Te Aupouri has caused them from moving ahead.

Chairman:

Intervened. Stating he felt that issues had not been resolved within Ngati Kuri. Recommends that only Ngati Kuri vote on this issue.

MOTION:

'That Ngati Kuri/ Te Aupouri jointly enter in negotiations with the Crown in their Treaty Claim within the Far North Peninsular.'

Moved: Charlie Neho / Second: Jessie Murupaenga

Voting

For motion: 46 Those against: 1

carried as

Interim Settlements

Technical advisors presentation.

Ken Hingston:

Informed Iwi that it is a show of good faith. Request the Crown make an advance on the Settlement to Ngati Kuri/ Te Aupouri.

- (a) Crown offered the five tribes the Aupouri Forest. Naturally this was not taken up.
- (b) Hui with the Minister in Kaitaia

Re: Claims

Felt they would be creating another claim to the Treaty of Waitangi.

Only to the tribes of the peninsular.

Crown make the settlement.

Land below the trees be brought back to the people so to create funds for both groups to operate.

Part of the discussion with the Crown was to obtain financial assistance.

(c) All the claimants are you here today at the hui?

That the process here now is dealing with the claim for compensation.

Hoana Karekare:

Strongly objected to Ngati Kuri representatives, Aupouri representatives. Her stance being that it has prevented her from dealing with relevant issues as a personal claimant.

At this point Erima Henare announced results of Ngati Kuri elections of negotiators.

130 votes held 12 informal votes 142 total

Andrew Christie - 67 Graham Neho - 65 Tom Bowling Murray - 62 Paul Norman - 54 Aperehama Hemi - 54

MOTION:

'That voting papers be destroyed after all papers have been re-checked.'

Moved: Charlie Neho / Second: Tama Keepa carried unanimously

MOTION:

Re-affirm Kaumatua representation.

'We not appoint Kaumatua representation, as all have a right to represent. Request that resolution be endorsed.'

Moved: Charlie Neho / Malaena Muruamo carried unanimously

Hoana Karekare:

Congratulated all successful negotiators and those who voted for

her.

Jessie Everitt:

Some of the younger people have been invited to participate in the

discussion.

Joe Everitt:

Tautoko Jessie's korero. But stated that the young people should

attend hui to listen, but not participate.

Malaena Muruamo:

Also congratulated those appointed. Sad to see no women elected.

Concerned that Ngati Kuri were not fully represented. Those elected are going to be held accountable by Iwi. Asked for a

transparent doorway.

Chairman:

Our young people need to be nurtured through and along the

negotiation process. Need to be made to feel part of it. Endorsed

previous speakers korero on having women aboard. Equal

representation.

Graham Neho:

Tautoko previous speakers.

Will endeavour to do his best to ensure the process is correct. Acknowledged representatives from OTS, TPK and manuhiri who

have attended today.

Pauline Tuimavave - Wirihana Mau:

Expressed strongly views on the wairua / spiritual values of the land of the five northern tribes and throughout Aotearoa.

At this point speaker on the floor was allowed to continue.

Ross Norman declared hui closed with a karakia

Hui closed:

4:10 pm

Prince

chairman.
2/12/00

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ATTENDANCE LIST FOR TE AUPOURI.

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WingARD. Tru. Hota	22 Kotuku The Telapa.	S. Farm
Maaka	Je Hapua RD4 Kaitaia	K Maaka
Karo Witana	Te Kao	Adama .
John Walters	9 Woodside Il Alt Eten Auchland	///
George Witana	TEHADUG	Hallack a
Dooley Martheus	Kartara	Phyllister.
BARRY. MURRAY	11 Nola Vlace Kartara FERESHERA RD. AHIPPERA	Thomas and
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MARY LEE MOSES	TE HAPUA.	M. L. Mores

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Lydia Smith	Ahipala I Papavove Mond	₩S.
Jane Salle Nina TIPENE	24 REWARENAND TEATHERTH ATH	724.
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Appendix 2



Office of the

Minister in Charge of Treaty of Waitangi Negotiations

Te Tari o Te

Minita Nõna te Mana Whakarite Take e pä ana ki Te Tiriti o Waitangi

n & DEC 2000

Matiu Wiki, George Witana, Waitai Petera, John Walters and Winiata Brown C/- Te Aupouri Settlement Trust PO Box 487 KAITAIA

Tena koutou,

I would like to offer my congratulations on the election of a negotiating body for Te Aupouri, and express my gratitude for the time, effort and goodwill that made this possible. My officials have advised me that there was a significant turnout of Te Aupouri people and that the process for the selection of the negotiating team was open and democratic so that the outcome accurately reflects the will of Te Aupouri as a whole. I understand that both Ngati Kuri and Te Rarawa have also appointed representatives to act as negotiators for those iwi.

The final milestone of pre-negotiations is the agreement of a Terms of Negotiation. This is a non-binding agreement to negotiate, which sets out the ground rules and objectives for the formal negotiations. Officials will be in further contact with you to progress the discussion on the development of a Terms. I note the preference of Te Aupouri and Ngati Kuri for a joint iwi approach to settlement negotiations.

Once again, I congratulate you on this significant milestone and look forward to beginning the important next stage of direct negotiations.

Noho ora mai,

Hon Margaret Wilson

Minister in Charge of Treaty of Waitangi Negotiations

Facsimile: (04) 495 8460 waea whakaahua: (04) 495 8460