A PROTOCOL ISSUED BY THE CROWN THROUGH THE MINISTER FOR ARTS, CULTURE AND HERITAGE REGARDING INTERACTION WITH TARANAKI WHĀNUI KI TE UPOKO O TE IKA ON SPECIFIED ISSUES

1 INTRODUCTION

- 1.1 Under the Deed of Settlement dated 19 August 2008 between Taranaki Whānui ki Te Upoko o Te Ika and the Crown (the "Deed of Settlement"), the Crown agreed that the Minister for Arts, Culture and Heritage (the "Minister") would issue a protocol (the "Protocol") setting out how the Minister and the Chief Executive for the Ministry for Culture and Heritage (the "Chief Executive") will interact with the governance entity on matters specified in the Protocol. These matters are:
 - 1.1.1 Protocol Area Part 2;
 - 1.1.2 Terms of issue Part 3
 - 1.1.3 Implementation and communication Part 4
 - 1.1.4 The role of the Chief Executive under the Protected Objects Act 1975 Part 5
 - 1.1.5 The role of the Minister under the Protected Objects Act 1975 Part 6
 - 1.1.6 Taranaki Whānui ki Te Upoko o Te Ika Ngā Taonga Tūturu held by Te Papa Tongarewa – Part 7
 - 1.1.7 Effects on Taranaki Whānui ki Te Upoko o Te Ika's interest in the Protocol Area Part 8
 - 1.1.8 Registration as a collector of Ngã Taonga Tūturu Part 9
 - 1.1.9 Board Appointments Part 10
 - 1.1.10 National Monuments, War Graves and Historical Graves Part 11
 - 1.1.11 Grave of Honiana Te Puni Part 12
 - 1.1.12 History publications relating to Taranaki Whānui ki Te Upoko o Te Ika Part 13
 - 1.1.13 Cultural and/or Spiritual Practices and Tendering Part 14
 - 1.1.14 Consultation Part 15
 - 1.1.15 Changes to legislation affecting this Protocol –Part 16
 - 1.1.16 Definitions Part 17
- 1.2 For the purposes of this Protocol the governance entity is the body representative of the whanau, hapū, and iwi of Taranaki Whānui ki Te Upoko o Te Ika who have an interest in the matters covered under this Protocol. This derives from the status of the governance entity as tangata whenua in the Protocol Area and is inextricably linked to whakapapa and has important cultural and spiritual dimensions.
- 1.3 The Ministry and the governance entity are seeking a relationship consistent with Te Tiriti o Waitangi/the Treaty of Waitangi and its principles. The principles of Te Tiriti o Waitangi/the

Treaty of Waitangi provides the basis for the relationship between the parties to this Protocol, as set out in this Protocol.

- 1.4 The purpose of the Protected Objects Act 1975 is to provide for the better protection of certain objects by, among other things, regulating the export of Taonga Tūturu, and by establishing and recording the ownership of Ngā Taonga Tūturu found after the commencement of the Act, namely 1 April 1976.
- 1.5 The Minister and Chief Executive have certain roles in terms of the matters mentioned in Clause 1.1. In exercising such roles, the Minister and Chief Executive will provide the governance entity with the opportunity for input, into matters set out in Clause 1.1.

2 PROTOCOL AREA

2.1 This Protocol applies across the Protocol Area which is identified in the map included in Attachment A of this Protocol together with adjacent waters (the "Protocol Area").

3 TERMS OF ISSUE

- 3.1 This Protocol is issued pursuant to section 17 of the Port Nicholson Block (Taranaki Whānui ki Te Upoko) Claims Settlement Act 2009 ("the Settlement Legislation") that implements the Taranaki Whānui ki te Upoko o Te Ika Deed of Settlement, and is subject to the Settlement Legislation and the Deed of Settlement.
- 3.2 This Protocol must be read subject to the terms of issue set out in Attachment B.

4 IMPLEMENTATION AND COMMUNICATION

- 4.1 The Chief Executive will maintain effective communication with the governance entity by:
 - 4.1.1 maintaining information provided by the governance entity on the office holders of the governance entity and their addresses and contact details;
 - 4.1.2 discussing with the governance entity concerns and issues notified by the governance entity about this Protocol;
 - 4.1.3 as far as reasonably practicable, providing opportunities for the governance entity to meet with relevant Ministry Managers and staff;
 - 4.1.4 meeting with the governance entity to review the implementation of this Protocol at least once a year, if requested by either party;
 - 4.1.5 as far as reasonably practicable, training relevant employees within the Ministry on this Protocol to ensure that they are aware of the purpose, content and implications of this Protocol:
 - 4.1.6 as far as reasonably practicable, inform other organisations with whom it works, central government agencies and stakeholders about this Protocol and provide ongoing information; and
 - 4.1.7 including a copy of the Protocol with the governance entity on the Ministry's website.

5 THE ROLE OF THE CHIEF EXECUTIVE UNDER THE PROTECTED OBJECTS ACT 1975

General

- 5.1 The Chief Executive has certain functions, powers and duties in terms of the Act and will consult, notify and provide information to the governance entity within the limits of the Act. From the date this Protocol is issued the Chief Executive will:
 - 5.1.1 notify the governance entity in writing of any Taonga Tüturu found within the Protocol Area or identified as being of Taranaki Whānui ki te Upoko o te Ika origin found anywhere else in New Zealand;
 - 5.1.2 provide for the care, recording and custody of any Taonga Tūturu found within the Protocol Area or identified as being of Taranaki Whānui ki te Upoko o te Ika origin found anywhere else in New Zealand;
 - 5.1.3 notify the governance entity in writing of its right to lodge a claim with the Chief Executive for ownership of any Taonga Tūturu found within the Protocol Area or identified as being of Taranaki Whānui ki te Upoko o te Ika origin found anywhere else in New Zealand;
 - 5.1.4 notify the governance entity in writing of its right to apply directly to the Māori Land Court for determination of the actual or traditional ownership, rightful possession or custody of any Taonga Tūturu found within the Protocol Area or identified as being of Taranaki Whānui ki te Upoko o te Ika origin found anywhere else in New Zealand, or for any right, title, estate, or interest in any such Taonga Tūturu; and
 - 5.1.5 notify the governance entity in writing of any application to the Māori Land Court from any other person for determination of the actual or traditional ownership, rightful possession or custody of any Taonga Tūturu found within the Protocol Area or identified as being of Taranaki Whānui ki te Upoko o te Ika origin found anywhere else in New Zealand, or for any right, title, estate, or interest in any such Taonga Tūturu.

Applications for Ownership

- 5.2. If the governance entity lodges a claim of ownership with the Chief Executive and there are no competing claims for any Taonga Tūturu found within the Protocol Area or identified as being of Taranaki Whānui ki te Upoko o te Ika origin found anywhere else in New Zealand, the Chief Executive will, if satisfied that the claim is valid, apply to the Registrar of the Māori Land Court for an order confirming ownership of the Taonga Tūturu.
- 5.3 If there is a competing claim or claims lodged in conjunction with the governance entity's claim of ownership, the Chief Executive will consult with the governance entity for the purpose of resolving the competing claims, and if satisfied that a resolution has been agreed to, and is valid, apply to the Registrar of the Māori Land Court for an order confirming ownership of the Taonga Tūturu.
- 5.4 If the competing claims for ownership of any Taonga Tūturu found within the Protocol Area or identified as being of Taranaki Whānui ki te Upoko o te Ika origin found anywhere else in New Zealand, cannot be resolved, the Chief Executive at the request of the governance entity may facilitate an application to the Māori Land Court for determination of ownership of the Taonga Tūturu.



Applications for Custody

- If no ownership application is made to the Māori Land Court for any Taonga Tūturu found within the Protocol Area or identified as being of Taranaki Whānui ki te Upoko o te Ika origin found elsewhere in New Zealand by the governance entity or any other person, the Chief Executive will:
 - 5.5.1 consult the governance entity where there is any request from any other person for the custody of the Taonga Tūturu;
 - 5.5.2 consult the governance entity before a decision is made on who may have custody of the Taonga Tūturu; and
 - 5.5.3 notify the governance entity in writing of the decision made by the Chief Executive on the custody of the Taonga Tūturu.

Export Applications

- For the purpose of seeking an expert opinion from the governance entity on any export applications to remove any Taonga Tūturu of Taranaki Whānui ki te Upoko o te Ika origin from New Zealand, the Chief Executive will register the governance entity on the Ministry for Culture and Heritage's Register of Expert Examiners.
- 5.7 Where the Chief Executive receives an export application to remove any Taonga Tūturu of Taranaki Whānui ki te Upoko o te Ika origin from New Zealand, the Chief Executive will consult the governance entity as an Expert Examiner on that application, and notify the governance entity in writing of his or her decision.

6. THE ROLE OF THE MINISTER UNDER THE PROTECTED OBJECTS ACT 1975

- The Minister has functions, powers and duties under the Act and may consult, notify and provide information to the governance entity within the limits of the Act. In circumstances where the Chief Executive originally consulted the governance entity as an Expert Examiner, the Minister may consult with the governance entity where a person appeals the decision of the Chief Executive to:
 - 6.1.1 refuse permission to export any Taonga Tūturu, or Ngā Taonga Tūturu, from New Zealand; or
 - 6.1.2 impose conditions on the approval to export any Taonga Tūturu, or Ngā Taonga Tūturu, from New Zealand;
- The Ministry will notify the governance entity in writing of the Minister's decision on an appeal in relation to an application to export any Taonga Tūturu where the governance entity was consulted as an Expert Examiner.

7. TARANAKI WHĀNUI KI TE UPOKO O TE IKA NGA TAONGA TUTURU HELD BY TE PAPA TONGAREWA

- 7.1 The Chief Executive will invite Te Papa Tongarewa to enter into a relationship with the governance entity, for the purposes of Te Papa Tongarewa compiling a full inventory of Taonga Tüturu held by Te Papa Tongarewa, which are of cultural, spiritual and historical importance to Taranaki Whānui ki Te Upoko o Te Ika; and
- 7.2 associated costs and/or additional resources required to complete the obligations under paragraph 7.1 will be funded by Te Papa Tongarewa, as resources allow.



8. EFFECTS ON TARANAKI WHĀNUI KI TE UPOKO O TE IKA'S INTERESTS IN THE PROTOCOL AREA

- 8.1 The Chief Executive and governance entity shall discuss any policy and legislative development, which specifically affects Taranaki Whānui ki Te Upoko o Te Ika interests in the Protocol Area.
- 8.2 The Chief Executive and governance entity shall discuss any of the Ministry's operational activities, which specifically affect Taranaki Whānui ki Te Upoko o te Ika interests in the Protocol Area.
- 8.3 Notwithstanding paragraphs 8.1 and 8.2 above the Chief Executive and governance entity shall meet to discuss Taranaki Whānui ki Te Upoko o Te Ika interests in the Protocol Area as part of the meeting specified in clause 4.1.4.

9. REGISTRATION AS A COLLECTOR OF NGĀ TAONGA TŪTURU

9.1 The Chief Executive will register the governance entity as a Registered Collector of Taonga Tūturu.

10. BOARD APPOINTMENTS

- 10.1 The Chief Executive shall:
 - 10.1.1 notify the governance entity of any vacancies on Boards administered by the Ministry;
 - 10.1.2 include governance entity nominees in the Ministry for Culture and Heritage's Nomination Register, for consideration during the process of making Board appointments; and
 - 10.1.3 notify the governance entity of any appointments to any Boards administered by the Ministry, where these are publicly notified.

11. NATIONAL MONUMENTS, WAR GRAVES AND HISTORIC GRAVES

11.1 The Chief Executive shall seek and consider the views of the governance entity on any national monument, war grave, historical grave or urupā, managed or administered by the Ministry, which specifically relates to Taranaki Whānui ki Te Upoko o Te Ika's interests.

12. GRAVE OF HONIANA TE PUNI

12.1 The Chief Executive shall have regard to the views of the governance entity in relation to any matters concerning the grave of Honiana Te Puni.

13. HISTORY PUBLICATIONS RELATING TO TARANAKI WHĀNUI KI TE UPOKO O TE IKA

- 13.1 The Chief Executive shall:
 - 13.1.1 provide the governance entity with a list of all history publications commissioned or undertaken by the Ministry that relates substantially to Taranaki Whānui ki Te Upoko o Te Ika, and will supply these on request; and
 - 13.1.2 discuss with the governance entity any work the Ministry undertakes that deals specifically or substantially with Taranaki Whānui ki Te Upoko o Te Ika.



14. PROVISION OF CULTURAL AND/OR SPIRITUAL PRACTICES AND PROFESSIONAL SERVICES

- 14.1 When the Chief Executive requests cultural and/or spiritual practices to be undertaken by Taranaki Whānui ki Te Upoko o Te Ika within the Protocol Area, the Chief Executive will make a contribution, subject to prior mutual agreement, to the costs of undertaking such practices.
- 14.2 Where appropriate, the Chief Executive will consider using the governance entity as a provider of professional services.
- 14.3 The procurement by the Chief Executive of any such services set out in Clauses 14.1 and 14.2 is subject to the Government's Mandatory Rules for Procurement by Departments, all government good practice policies and guidelines, and the Ministry's purchasing policy.

15. CONSULTATION

- 15.1 Where the Chief Executive is required to consult under this Protocol, the basic principles that will be followed in consulting with the governance entity in each case are:
 - 15.1.1 ensuring that the governance entity is consulted as soon as reasonably practicable following the identification and determination by the Chief Executive of the proposal or issues to be the subject of the consultation;
 - 15.1.2 providing the governance entity with sufficient information to make informed decisions and submissions in relation to any of the matters that are the subject of the consultation:
 - 15.1.3 ensuring that sufficient time is given for the participation of the governance entity in the decision making process including the preparation of submissions by the governance entity in relation to any of the matters that are the subject of the consultation;
 - 15.1.4 ensuring that the Chief Executive will approach the consultation with the governance entity with an open mind, and will genuinely consider the submissions of the governance entity in relation to any of the matters that are the subject of the consultation; and
 - 15.1.5 report back to the governance entity, either in writing or in person, in regard to any decisions made that relate to that consultation.

16 CHANGES TO POLICY AND LEGISLATION AFFECTING THIS PROTOCOL

- 16.1 If the Chief Executive consults with Māori generally on policy development or any proposed legislative amendment to the Act that impacts upon this Protocol, the Chief Executive shall:
 - 16.1.1 notify the governance entity of the proposed policy development or proposed legislative amendment upon which Māori generally will be consulted;
 - 16.1.2 make available to the governance entity the information provided to Māori as part of the consultation process referred to in this clause; and
 - 16.1.3 report back to the governance entity on the outcome of any such consultation.

17. DEFINITIONS

17.1 In this Protocol:

Chief Executive means the Chief Executive of the Ministry for Culture and Heritage and includes any authorised employee of the Ministry for Culture and Heritage acting for and on behalf of the Chief Executive

Crown means the Sovereign in right of New Zealand and includes, where appropriate, the Ministers and Departments of the Crown that are involved in, or bound by the terms of the Deed of Settlement to participate in, any aspect of the redress under the Deed of Settlement

Expert Examiner has the same meaning as in section 2 of the Act and means a body corporate or an association of persons

Found has the same meaning as in section 2 of the Act and means:

in relation to any Taonga Tūturu, means discovered or obtained in circumstances which do not indicate with reasonable certainty the lawful ownership of the Taonga Tūturu and which suggest that the Taonga Tūturu was last in the lawful possession of a person who at the time of finding is no longer alive; and 'finding' and 'finds' have corresponding meanings

governance entity means the trustees for the time being of the Port Nicholson Block Settlement Trust.

Ngā Taonga Tūturu has the same meaning as in section 2 of the Act and means two or more Taonga Tūturu

Protocol means a statement in writing, issued by the Crown through the Minister to the governance entity under the Settlement Legislation and the Deed of Settlement and includes this Protocol

Taonga Tūturu has the same meaning as in section 2 of the Act and means:

an object that-

- (a) relates to Māori culture, history, or society; and
- (b) was, or appears to have been,—
 - (i) manufactured or modified in New Zealand by Māori; or
 - (ii) brought into New Zealand by Māori; or
 - (iii) used by Māori; and
- (c) is more than 50 years old

Taranaki Whānui ki Te Upoko o Te Ika has the meaning set out in clause 8.1 of the Deed of Settlement.



ISSUED on

SIGNED for and on behalf of **THE SOVEREIGN** in right of New Zealand by the Minister for Arts, Culture and Heritage:

P. F. Furayton

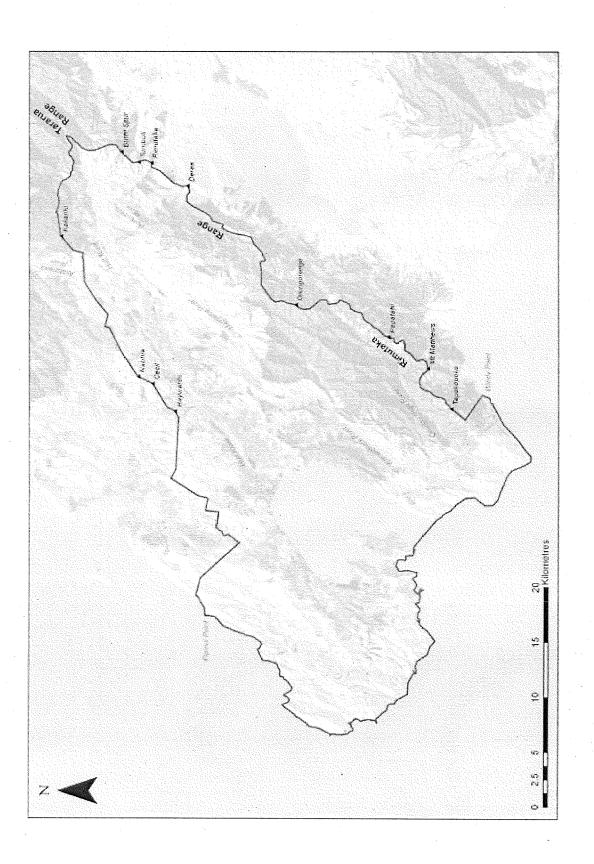
WITNESS

Name: John Harbord

Occupation: Advisor

Address: Wellington

ATTACHMENT A
THE MINISTRY FOR CULTURE AND HERITAGE PROTOCOL AREA





ATTACHMENT B

TERMS OF ISSUE

This Protocol is issued subject to the provisions of the deed of settlement and the settlement legislation. These provisions are set out below.

1 Provisions of the deed of settlement relating to this Protocol

- 1.1 The deed of settlement provides that:
 - 1.1.1 a failure by the Crown to comply with a protocol is not a breach of the deed of settlement (paragraph 3.3 of the provisions schedule); and
 - 1.1.2 this protocol does not override or limit:
 - (a) legislative rights, powers, or obligations; or
 - (b) the functions, duties, and powers of Ministers, officials and others under legislation; or
 - (c) the ability of the Crown to interact or consult with persons other than Taranaki Whānui ki Te Upoko o Te Ika or the governance entity.

2 Authority to issue, amend or cancel protocols

- 2.1 Section 17 of the settlement legislation provides that:
 - (1) each responsible Minister may -
 - (a) issue a protocol to the governance entity in the form set out in part 1 of the documents schedule to the deed of settlement; and
 - (b) amend or cancel that protocol.
 - (2) a protocol may be amended or cancelled under subsection (1) at the initiative of either
 - (a) the governance entity; or
 - (b) the responsible Minister.
 - the responsible Minister may amend or cancel a protocol only after consulting with, and having particular regard to the views of, the governance entity.

3 Protocols subject to rights, functions, and obligations

- 3.1 Section 18 of the settlement legislation provides that protocols do not restrict:
 - (a) the ability of the Crown to exercise its powers and perform its functions and duties in accordance with the law and government policy, which includes, without limitation, the ability to
 - (i) introduce legislation and change government policy; and

- (ii) interact or consult with a person the Crown considers appropriate, including, without limitation, any iwi, hapū, marae, whānau, or other representative of tangata whenua; or
- (b) the responsibilities of the responsible Minister or a responsible department; or
- (c) the legal rights of Taranaki Whānui ki Te Upoko o Te Ika or a representative entity.

4 Enforcement of a protocol

- 4.1 Section 19 of the settlement legislation provides that:
 - (1) the Crown must comply with a protocol while it is in force.
 - (2) if the Crown fails, without good cause, to comply with a protocol, the governance entity may, subject to the Crown Proceedings Act 1950, enforce the protocol.
 - (3) despite subsection (2), damages or any form of monetary compensation are not available as a remedy for failure by the Crown to comply with a protocol.
 - (4) to avoid doubt,-
 - (a) subsections (1) and (2) do not apply to guidelines developed for the implementation of a protocol; and
 - (b) subsection (3) does not affect the ability of a court to award costs incurred in enforcing a protocol under subsection (2).

5 Limitation of rights

5.1 Section 20 of the settlement legislation provides that:

this Protocol does not have the effect of granting, creating, or providing evidence of an estate or interest in, or rights relating to, taonga tūturu.